

17638
012904
U.S. PTO

Please type a plus sign (+) inside this box →

PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF
COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No. 71549-004	
	First Inventor Hans Rauch	
	Title	Machine For Harvesting Stalk Crop
	Express Mail No.	EV 376989945 US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
2. ☒ Specification [Total Pages 14]
(preferred arrangement set forth below)
 - ☒ Descriptive title of the invention
 - ☒ Cross Reference to Related Applications
 - ☒ Statement Regarding Fed sponsored R & D
 - ☐ Reference to sequence listing, a table, or a computer program listing appendix
 - ☒ Background of the Invention
 - ☒ Brief Summary of the Invention
 - ☒ Brief Description of the Drawings (if filed)
 - ☒ Detailed Description
 - ☒ Claim(s)
 - ☒ Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
4. Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Unexecuted
 - c. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☒ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
11. ☐ Preliminary Amendment ☒ Other: Application Data Sheet; German Search Report & English language version
12. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
15. ☒ Certificate of Express Mail
16. ☒ Acknowledgment Postcard

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____
Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **029493** (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

Name	Robert C. Haldiman, 45,437				
Address	Husch & Eppenberger, LLC				
	190 Carondelet Plaza, Suite 600				
City	St. Louis	State	MO	Zip Code	63105

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD				Application or Docket Number 71549-004			
CLAIMS AS FILED— PART I				SMALL ENTITY		OR OTHER THAN SMALL ENTITY	
FOR	(Column 1) NUMBER FILED	(Column 2) NUMBER EXTRA		RATE	FEE		RATE
BASIC FEE (37 CFR 1.16(a))					385.00		770.00
TOTAL CLAIMS (37 CFR 1.16(c))	13 minus 20 =	0		x \$9.00 =		OR x \$18.00 =	
INDEPENDENT CLAIMS (37 CFR 1.16(b))	1 minus 3 =	0		x \$43.00 =		OR x \$86.00 =	
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))					+ \$145.00 =	OR + \$290.00 =	
* If the difference in column 1 is less than zero, enter "0" in column 2					TOTAL	OR TOTAL	\$770.00

- ☒ Checks in the amount of \$770 and \$40 are attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 08-3460 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \$
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Dated: Jan. 29, 2004

Robert E. Muir, 23,017
Husch & Eppenberger, LLC
190 Carondelet Plaza, Suite 600
St. Louis, MO 63105
309-637-4900
309-637-4928 FAX

Customer No. 029493

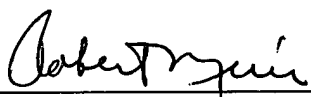
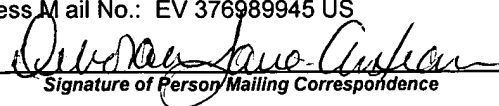
I certify that the document and fee is being deposited on 1-29-2004 with the U.S. Postal Service as Express Mail under 37 C.F.R. 1.10 and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Express Mail No. EV 37698945 US


Signature of Person Mailing Correspondence

Deborah Lane-Christian

Typed or Printed Name of Person Mailing Correspondence

EXPRESS MAIL CERTIFICATE OF MAILING (37 CFR 1.10) Express Mail No.: EV 376989945 US			Docket No. 71549-004
Applicant(s): Hans Rauch			
Serial No.	Filing Date herewith	Examiner	Group Art
Title: Machine for Harvesting Stalk Crop			
<u>TO THE COMMISSIONER OF PATENTS AND TRADEMARKS</u>			
Transmitted herewith is:			
<input checked="" type="checkbox"/> Utility Patent Application Transmittal (2)			
<input checked="" type="checkbox"/> Patent Specification			
<input checked="" type="checkbox"/> Drawings			
<input checked="" type="checkbox"/> Application Data Sheet			
<input checked="" type="checkbox"/> Declaration			
<input checked="" type="checkbox"/> Assignment			
<input checked="" type="checkbox"/> Recordation Document			
<input checked="" type="checkbox"/> Statement Under 37 CFR 3.73(b)			
<input checked="" type="checkbox"/> Power of Attorney			
<input checked="" type="checkbox"/> Priority Document			
<input checked="" type="checkbox"/> Transmittal of IDS			
<input checked="" type="checkbox"/> Information Disclosure Statement			
<input checked="" type="checkbox"/> PTO-1449			
<input checked="" type="checkbox"/> German search report & English language version			
<input checked="" type="checkbox"/> 4 references			
<input checked="" type="checkbox"/> Checks in the amount of \$40 and \$770			
<input checked="" type="checkbox"/> Postcard			
 _____ Signature		Dated: Jan 29, 2004	
Robert E. Muir, #23,017 Husch & Eppenberger, LLC 190 Carondelet Plaza St. Louis MO 63105-3441 309-637-4900 309-637-4928 FAX		<div style="border: 1px solid black; padding: 5px;"><p>I certify that the document and fee is being deposited on 1-29-2004 with the U.S. Postal Service as Express Mail under 37 C.F.R. 1.10 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p><p>Express Mail No.: EV 376989945 US</p><p style="text-align:center"> Signature of Person Mailing Correspondence</p><p style="text-align:center">Deborah Lane-Christian Typed or Printed Name of Person Mailing Correspondence</p></div>	
Customer No. 029493			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 71549-004
In Re Application Of: Hans Rauch			
Serial No.	Filing Date Herewith	Examiner	Group Art Unit
Title: MACHINE FOR HARVESTING STALK CROPS			
<div style="text-align: center;"> Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 </div> <div style="text-align: center; margin-top: 20px;"> 37 CFR 1.97(b) </div> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <div style="text-align: center; margin-top: 20px;"> 37 CFR 1.97(c) </div> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div> <div style="text-align: center; margin-top: 10px;"> OR </div> <div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). </div>			